

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **-Bafna Pharmaceuticals Limited**
 2. Quarter ending **- 31-Dec-2018**

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Director	DI N	P A N	Cate gory(Chair perso n / Execu tive/ Non- Execu tive/ Indepe ndent/ Nomin ee)	S u b C a t e g o r y	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	No of D irec tors hip lis ted enti ties incl udi ng his s te den tity	No of m em ber ship sin Au dit/ Sta keh old er Com mitt ee(s) in clu din g his s te den tity	No of pos tof C hair pers on in Audi t/ Sta keh old er Com mitt ee held in list ede nt ies in clu din g his s te den tity	Me mbe rsh ip in Com mit tees of the Com pa ny	Remarks
Mr .	BAFNA MAHAVEER CHAND	0 1 4 5 8 2 1 1	AA CP B6 411 G	C & ED		01- Oct- 2017	30- Sep - 202 0		1	2	0	AC,SC	
Mr .	PARAS BAFNA	0 1 9 3 3 6 6 3	AA SP B2 717 M	ED		01- Oct- 2017	30- Sep - 202 0		1	1	0	SC	
Mr .	V RAJAMANI	0 0 0 5 2 8	AA AP R3 945 H	ID		10- Sep- 2014	09- Sep - 201 9	6 0	2	0	1	AC,N RC	

		6 8											
Mr .	SUNIL BAFNA	0 1 4 5 8 2 2 5	AH LP B3 511 D	ID		10- Sep- 2014	09- Sep - 201 9	6 0	1	2	1	AC,SC ,NRC	
Mr s.	K SABITHA	0 2 6 4 3 2 5 9	AP FP S30 89F	ED		27- Mar- 2015			1	0	0	NA	

Company Remarks	Bafna Pharmaceuticals Limited is under Corporate Insolvency Resolution Process (CIRP) under I&B Code 2016?passed by the National Company Law Tribunal, Chennai vide its Order dated 16th July 2018. The Composition of the Board of Directors and Nomination and Remuneration Committee?is not in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ending 30th Sep 2018
Whether Permanent chairperson appointed	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL BAFNA	ID	Member	28-May-2018	
2	V RAJAMANI	ID	Chairperson	29-Feb-2008	
3	BAFNA MAHAVEER CHAND	C & ED	Member	29-Feb-2008	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL BAFNA	ID	Chairperson	30-May-2014	
2	PARAS BAFNA	ED	Member	29-Feb-2008	
3	BAFNA MAHAVEER CHAND	C & ED	Member	29-Feb-2008	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	Risk Management Committee is not applicable .
Whether Permanent chairperson appointed	No

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	V RAJAMANI	ID	Member	29-Feb-2008	
2	SUNIL BAFNA	ID	Member	29-Feb-2008	

Company Remarks	Bafna Pharmaceuticals Limited is under Corporate Insolvency Resolution Process (CIRP) under I&B Code 2016 passed by the National Company Law Tribunal, Chennai vide its Order dated 16th July 2018. The Composition of the Board of Directors and Nomination and Remuneration Committee is not in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Since Nomination and Remuneration Committee is not in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the post of Chairman is vacant.
Whether Permanent chairperson appointed	No

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter
05-Sep-2018	13-Nov-2018

Company Remarks	
Maximum gap between any two consecutive (in number of days)	68

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Requirement of Quorum met (details)	Whether requirement of Quorum met (Yes/No)
Audit Committee	13-Aug-2018	13-Nov-2018	3	Yes
Nomination & Remuneration Committee	13-Aug-2018		2	Yes

Company Remarks	
Maximum gap between any	91

two consecutive (in number of days) [Only for Audit Committee]	
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v. **Related Party Transactions**

Subject	Compliance status (Y/N)
Whether prior approval of audit committee obtained	Not Applicable
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **No**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

Bafna Pharmaceuticals Limited is under Corporate Insolvency Resolution Process (CIRP) under I&B Code 2016? passed by the National Company Law Tribunal, Chennai vide its Order dated 16th July 2018. The Composition of the Board of Directors and Nomination and Remuneration Committee? is not in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name : JITENDRA KUMAR PAL
Designation : Company Secretary