

General information about company	
Script code	532989
NSE Symbol	BAFNAPH
MSEI Symbol	NOTLISTED
ISIN	INE87801022
Name of the entity	BAFNA PHARMACEUTICALS LIMITED
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Yearly
Date of Report	31-03-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 2000 listed entities

Annexure I																				
Annexure I to be submitted by listed entity on quarterly basis																				
I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson																				
Whether Chairperson is related to CEO or CIO																				
Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg. 171A of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No. of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Ms	S. HEMALATHA	AANPR4342M	02714429	Executive Director	Chairperson	Non-Executive - Independent Director	15-06-1975	NA		10-09-2020	10-09-2020			1	0	1	0		
2	Mr	BABULAL KAMLESH KUMAR	AADPR2106G	01218959	Non-Executive - Independent Director	Not Applicable	Non-Executive - Independent Director	13-06-1968	NA		08-02-2019	08-02-2019		37	1	1	1	0		
3	Mr	PALAMADAI KRISHNAN SUNDARESAN	AJZP9719UD	06954189	Non-Executive - Independent Director	Not Applicable	Non-Executive - Independent Director	20-05-1956	NA		25-06-2020	25-06-2020		21	1	1	1	1		
4	Ms	AKILA CHINTALAPATI RAJU	ARNPR7973B	07590312	Non-Executive - Independent Director	Not Applicable	Non-Executive - Independent Director	10-10-1987	NA		10-09-2020	10-09-2020		1	0	0	0			

I. Composition of Board of Directors																				
Disclosure of notes on composition of board of directors explanatory																				
Whether the listed entity has a Regular Chairperson																				
Sr	Title (Mr/Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? (Refer Reg. 171A of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No. of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	ATUL SACHDEVA	AYKPS1750P	07645130	Non-Executive - Independent Director	Not Applicable	Non-Executive - Independent Director	22-11-1984	NA		12-11-2020	12-11-2020			1	0	2	0		
6	Ms	RAVICHANDRAN CHITRA	AAFPCL741D	07749125	Non-Executive - Independent Director	Not Applicable	Non-Executive - Independent Director	25-04-1961	NA		12-11-2020	12-11-2020		16	1	1	1	1		

Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	06954189	PALAMADAI KRISHNAN SUNDARESAN	Non-Executive - Independent Director	Chairperson	25-06-2020	
2	01218959	BABULAL KAMLESH KUMAR	Non-Executive - Independent Director	Member	08-02-2019	
3	07645130	ATUL SACHDEVA	Non-Executive - Non Independent Director	Member	12-11-2020	

Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	01218959	BABULAL KAMLESH KUMAR	Non-Executive - Independent Director	Chairperson	08-02-2019	
2	06954189	PALAMADAI KRISHNAN SUNDARESAN	Non-Executive - Independent Director	Member	25-06-2020	
3	07590312	AKILA CHINTALAPATI RAJU	Non-Executive - Non Independent Director	Member	10-09-2020	

Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	07749125	RAVICHANDRAN CHITRA	Non-Executive - Independent Director	Chairperson	12-11-2020	
2	02714429	S. HEMALATHA	Executive Director	Member	10-09-2020	
3	07645130	ATUL SACHDEVA	Non-Executive - Non Independent Director	Member	12-11-2020	

Risk Management Committee						
Whether the Risk Management Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation

Corporate Social Responsibility Committee						
Whether the Corporate Social Responsibility Committee has a Regular Chairperson						
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure I									
Annexure I									
III. Meeting of Board of Directors									
Disclosure of notes on meeting of board of directors explanatory									
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*		
1	12-11-2021				Yes				
2		10-02-2022	89		Yes	6	3		
3		21-03-2022	38		Yes	4	2		

Annexure I									
IV. Meeting of Committees									
Disclosure of notes on meeting of committees explanatory									
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*	
1	Audit Committee	11-11-2021				Yes			
2	Audit Committee	09-02-2022	89			Yes	3	2	
3	Nomination and remuneration committee	21-03-2022				Yes	2	2	

Annexure I				
V. Related Party Transactions				
Sr	Subject	Compliance status (Yes/No/NA)	If status is No	Details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes		
2	Whether shareholder approval obtained for material RPT	NA		
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes		

Annexure I				
VI. Affirmations				
Sr	Subject	Compliance status (Yes/No)	Compliance status (Yes/No)	
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes	Yes	
2	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. a. Audit Committee	Yes	Yes	
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes	Yes	
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes	Yes	
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 200 listed entities)	NA	NA	
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	Yes	
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes	Yes	
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes	Yes	

Annexure I		
Sr	Subject	Compliance status
1	Name of signatory	Roopa Ravikumar
2	Designation	Company Secretary and Compliance Officer

Annexure II					
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)					
I. Disclosure on website in terms of Listing Regulations					
Sr	Item	Compliance status (Yes/No/NA)	If status is No	Details of non-compliance may be given here.	Web address
1	Details of business	Yes			www.bafnapharma.com
2	Terms and conditions of appointment of independent directors	Yes			www.bafnapharma.com
3	Composition of various committees of board of directors	Yes			www.bafnapharma.com
4	Code of conduct of board of directors and senior management personnel	Yes			www.bafnapharma.com
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes			www.bafnapharma.com
6	Criteria of making payments to non-executive directors	Yes			www.bafnapharma.com
7	Policy on dealing with related party transactions	Yes			www.bafnapharma.com
8	Policy for determining materiality/subsidiaries	Yes			www.bafnapharma.com
9	Details of familiarization programmes imparted to independent directors	Yes			www.bafnapharma.com

Annexure II					
Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)					
I. Disclosure on website in terms of Listing Regulations					
Sr	Item	Compliance status (Yes/No/NA)	If status is No	Details of non-compliance may be given here.	Web address
10	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes			www.bafnapharma.com
11	email address for grievance redressal and other relevant details	Yes			www.bafnapharma.com
12	Financial results	Yes			www.bafnapharma.com
13	Shareholding pattern	Yes			www.bafnapharma.com
14	Details of agreements entered into with the media companies and/or their associates	NA			
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA			
16	New name and the old name of the listed entity	NA			
17	Advertisements as per regulation 47 (1)	Yes			www.bafnapharma.com
18	Credit rating or revision in credit rating obtained	NA			
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes			
20	Whether company has provided information under separate section on its website as per Regulation-46(2)	NA			www.bafnapharma.com
21	Materiality Policy as per Regulation 30	Yes			www.bafnapharma.com
22	Dividend Distribution policy as per Regulation 43A (as applicable)	Yes			
23	It is certified that these contents on the website of the listed entity are correct	NA			www.bafnapharma.com

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No
1	Independent director(s) have been appointed in terms of specified criteria of Independence and Eligibility	16(1)(b) & 25(6)	Yes	Details of non-compliance may be given here.
2	Board composition	17(1), 17(1A) & 17(1B)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
18	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
19	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(3A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
22	Meeting and role of risk management committee	21(1),(2),(3),(4)	NA	
23	Meeting of Risk Management Committee	21(3A)	NA	
24	Vigil Mechanism	22	Yes	
25	Policy for related party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes	
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
27	Approval for material related party transactions	23(4)	NA	
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA	
30	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is No
31	Annual Secretarial Compliance Report	24(A)	Yes	
32	Alternate Director to Independent Director	25(1)	Yes	
33	Maximum Tenure	25(2)	Yes	
34	Meeting of independent directors	25(3) & (4)	Yes	
35	Familiarization of independent directors	25(7)	Yes	
36	Declaration from Independent Director	25(8) & (9)	Yes	
37	D & O Insurance for Independent Directors	25(10)	NA	
38	Memberships in Committees	25(1)	Yes	
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes	
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
Any other information to be provided - Add Notes				

Annexure II		
Sr	Name of signatory	Designation
1	Roopa Ravikumar	Company Secretary and Compliance Officer
2	Roopa Ravikumar	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	NA
Any other information to be provided		

Annexure II		
III. Affirmations		
Sr	Name of signatory	Designation
1	Roopa Ravikumar	Company Secretary and Compliance Officer
2	Roopa Ravikumar	Company Secretary and Compliance Officer

Additional Half yearly Disclosure			
Applicability of disclosure		Applicable	
Reasons for Non Applicability			
I. Disclosure of Loans/ guarantees/comfort letters/ securities etc refer note below			
(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months (taking into account any invocation)	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(C) Any form of debt			