

**SHAREHOLDING PATTERN**

**BAFNA PHARMACEUTICALS LTD.**

**SCRIP CODE : 532989**

**QUARTER ENDING : DECEMBER 2016**

**SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES**

Category of shareholder	Nos. of Share holders	No. of fully paid up Equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
					No.(a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A) Promoter & Promoter Group	8	62,35,770	62,35,770	33.42	21,12,650	33.88	5,00,000	8.02	62,35,770
(B) Public	9,982	1,24,20,565	1,24,20,565	66.58		0.00		0.00	1,22,95,316
(C1) Shares underlying DRs				0.00		0.00		0.00	
(C2) Shares held by Employee Trust				0.00		0.00		0.00	
(C) Non Promoter-Non Public				0.00		0.00		0.00	
<b>Grand Total</b>	<b>9,990</b>	<b>1,86,56,335</b>	<b>1,86,56,335</b>	<b>100.00</b>	<b>21,12,650</b>	<b>11.32</b>	<b>5,00,000</b>	<b>2.68</b>	<b>1,85,31,086</b>

**NOTE C = C1+C2**

**GRAND TOTAL = A+B+C**

**STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP**

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
					No.(a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
<b>A1) Indian</b>				<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
Individuals/Hindu undivided Family	4	27,97,267	27,97,267	14.99	14,85,078	53.09		0.00	27,97,267
MAHAVEER CHAND BAFNA	1	14,85,078	14,85,078	7.96	14,85,078	100.00		0.00	14,85,078
PARAS BAFNA	1	9,11,671	9,11,671	4.89		0.00		0.00	9,11,671
NAVEEN BAFNA M	1	4,00,026	4,00,026	2.14		0.00		0.00	4,00,026
PARAS BAFNA HUF	1	492	492	0.00		0.00		0.00	492
Any Other (specify)	4	34,38,503	34,38,503	18.43	6,27,572	18.25	5,00,000	14.54	34,38,503
CHETNA BAFNA	1	21,32,805	21,32,805	11.43		0.00		0.00	21,32,805
SASIKALA BAFNA	1	6,27,572	6,27,572	3.36	6,27,572	100.00		0.00	6,27,572
MAHAVEER CHAND BAFNA HUF	1	1,58,235	1,58,235	0.85		0.00		0.00	1,58,235
AMRI BAI BAFNA	1	5,19,891	5,19,891	2.79		0.00	5,00,000	96.17	5,19,891
<b>Sub Total A1</b>	<b>8</b>	<b>62,35,770</b>	<b>62,35,770</b>	<b>33.42</b>	<b>21,12,650</b>	<b>33.88</b>	<b>5,00,000</b>	<b>8.02</b>	<b>62,35,770</b>
<b>A2) Foreign</b>				<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>A=A1+A2</b>	<b>8</b>	<b>62,35,770</b>	<b>62,35,770</b>	<b>33.42</b>	<b>21,12,650</b>	<b>33.88</b>	<b>5,00,000</b>	<b>8.02</b>	<b>62,35,770</b>

**STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER**

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No of Voting Rights	Total as a % of Total Voting right	Number of Locked in shares		Number of equity shares held in dematerialized form(Not Applicable)
							No. (a)	As a % of total Shares held (b)	
<b>B1) Institutions</b>	<b>0</b>	<b>0</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
Financial Institutions/ Banks	2	13,747	13,747	0.07	13,747	0.07		0.00	13,747
<b>Sub Total B1</b>	<b>2</b>	<b>13,747</b>	<b>13,747</b>	<b>0.07</b>	<b>13,747</b>	<b>0.07</b>		<b>0.00</b>	<b>13,747</b>
<b>B2) Central Government/ State Government(s)/ President of India</b>	<b>0</b>	<b>0</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
<b>B3) Non-Institutions</b>	<b>0</b>	<b>0</b>		<b>0.00</b>		<b>0.00</b>		<b>0.00</b>	
Individual share capital upto Rs. 2 Lacs	9,223	52,01,792	52,01,792	27.88	52,01,792	27.88		0.00	50,81,543
Individual share capital in excess of Rs. 2 Lacs	44	42,19,020	42,19,020	22.61	42,19,020	22.61		0.00	42,19,020
<b>RAMESH KUMAR CHOPRA</b>	<b>1</b>	<b>3,83,250</b>	<b>3,83,250</b>	<b>2.05</b>	<b>3,83,250</b>	<b>2.05</b>		<b>0.00</b>	<b>3,83,250</b>
<b>VISHWAS JAIN</b>	<b>1</b>	<b>3,09,435</b>	<b>3,09,435</b>	<b>1.66</b>	<b>3,09,435</b>	<b>1.66</b>		<b>0.00</b>	<b>3,09,435</b>
<b>GYAN MAL JAIN</b>	<b>1</b>	<b>3,40,000</b>	<b>3,40,000</b>	<b>1.82</b>	<b>3,40,000</b>	<b>1.82</b>		<b>0.00</b>	<b>3,40,000</b>
<b>KUSUM JAIN</b>	<b>1</b>	<b>3,02,618</b>	<b>3,02,618</b>	<b>1.62</b>	<b>3,02,618</b>	<b>1.62</b>		<b>0.00</b>	<b>3,02,618</b>
<b>NISHA JIGNESH MEHTA</b>	<b>1</b>	<b>5,50,620</b>	<b>5</b>						<b>5,50,620</b>
<b>SUBRAMANIAN P</b>	<b>1</b>	<b>4,15,973</b>	<b>4,15,973</b>	<b>2.23</b>	<b>4,15,973</b>	<b>2.23</b>		<b>0.00</b>	<b>4,15,973</b>
<b>Any Other (specify)</b>	<b>713</b>	<b>29,86,006</b>	<b>29,86,006</b>	<b>16.01</b>	<b>29,86,006</b>	<b>16.01</b>		<b>0.00</b>	<b>29,81,006</b>
<b>Bodies Corporate</b>	<b>242</b>	<b>14,23,675</b>	<b>14,23,675</b>	<b>7.63</b>	<b>14,23,675</b>	<b>7.63</b>		<b>0.00</b>	<b>14,18,675</b>
<b>Clearing Members</b>	<b>37</b>	<b>29,616</b>	<b>29,616</b>	<b>0.16</b>	<b>29,616</b>	<b>0.16</b>		<b>0.00</b>	<b>29,616</b>
<b>Foreign</b>	<b>1</b>	<b>2,000</b>	<b>2,000</b>	<b>0.01</b>	<b>2,000</b>	<b>0.01</b>			<b>2,000</b>

<b>individuals</b>								<b>0.00</b>	
<b>HUF</b>	<b>350</b>	<b>4,46,087</b>	<b>4,46,087</b>	<b>2.39</b>	<b>4,46,087</b>	<b>2.39</b>		<b>0.00</b>	<b>4,46,087</b>
<b>NRI – Non- Repat</b>	<b>16</b>	<b>30,018</b>	<b>30,018</b>	<b>0.16</b>	<b>30,018</b>	<b>0.16</b>		<b>0.00</b>	<b>30,018</b>
<b>NRI – Repat</b>	<b>67</b>	<b>10,54,610</b>	<b>10,54,610</b>	<b>5.65</b>	<b>10,54,610</b>	<b>5.65</b>		<b>0.00</b>	<b>10,54,610</b>
<b>DHIRENDRASOM AIYA</b>	<b>1</b>	<b>3,28,200</b>	<b>3,28,200</b>	<b>1.76</b>	<b>3,28,200</b>	<b>1.76</b>		<b>0.00</b>	<b>3,28,200</b>
<b>LINDAJEET KAUR RUPRAI</b>	<b>1</b>	<b>6,67,352</b>	<b>6,67,352</b>	<b>3.58</b>	<b>6,67,352</b>	<b>3.58</b>		<b>0.00</b>	<b>6,67,352</b>
<b>Sub Total B3</b>	<b>9980</b>	<b>1,24,06,818</b>	<b>1,24,06,818</b>	<b>66.50</b>	<b>1,24,06,818</b>	<b>66.50</b>		<b>0.00</b>	<b>1,22,81,569</b>
<b>B=B1+B2+B3</b>	<b>9982</b>	<b>1,24,20,565</b>	<b>1,24,20,565</b>	<b>66.58</b>	<b>1,24,20,565</b>	<b>66.58</b>		<b>0.00</b>	<b>1,22,95,316</b>

**STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC  
SHAREHOLDER**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	Number of Locked in shares(XII)		Number of equity shares held in dematerialized form(XIV)(Not Applicable)
					No	As a % of total Shares held	
C1)Custodian / DR Holder	0	0		0.00		0.00	
C2) Employee Benefit Trust	0	0		0.00		0.00	