

SHAREHOLDING PATTERN

BAFNA PHRMACEUTICALS LTD.

SCRIP CODE : 532989

QUARTER ENDING : MARCH 2017

SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category of share holder	Nos. of Share holders	No. of fully paid up Equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
						No.(a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A) Promoter & Promoter Group	8	62,35,865	62,35,865	33.42		30,24,321	48.50	18,66,031	29.92	62,35,865
(B) Public	9,873	1,24,20,470	1,24,20,470	66.58	50,00,000	17,077	0.14		0.00	1,22,95,221
(C1) Shares underlying DRs				0.00			0.00		0.00	
(C2) Shares held by Employee Trust				0.00			0.00		0.00	
(C) Non Promoter-Non Public				0.00			0.00		0.00	
Grand Total	9,881	1,86,56,335	1,86,56,335	100.00	50,00,000	30,41,398	16.30	18,66,031	10.00	1,85,31,086

NOTE C = C1+C2

GRAND TOTAL = A+B+C

STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
						No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
A1) Indian				0.00			0.00		0.00	
Individuals/Hindu undivided Family	4	27,97,362	27,97,362	14.99		23,96,749	85.68	13,66,031	48.83	27,97,362
MAHAVEER CHAND BAFNA	1	14,85,078	14,85,078	7.96		14,85,078	100.00	13,66,031	91.98	14,85,078
PARAS BAFNA	1	9,11,671	9,11,671	4.89		9,11,671	100.00		0.00	9,11,671
NAVEEN BAFNA M	1	4,00,121	4,00,121	2.14			0.00		0.00	4,00,121
PARAS BAFNA HUF	1	492	492	0.00			0.00		0.00	492
Any Other (specify)	4	34,38,503	34,38,503	18.43		6,27,572	18.25	5,00,000	14.54	34,38,503
CHEITNA BAFNA	1	21,32,805	21,32,805	11.43			0.00		0.00	21,32,805
AMRI BAI BAFNA	1	5,19,891	5,19,891	2.79			0.00	5,00,000	96.17	5,19,891
SASIKALA BAFNA	1	6,27,572	6,27,572	3.36		6,27,572	100.00		0.00	6,27,572
MAHAVEER CHAND BAFNA HUF	1	1,58,235	1,58,235	0.85			0.00		0.00	1,58,235
Sub Total A1	8	62,35,865	62,35,865	33.42		30,24,321	48.50	18,66,031	29.92	62,35,865
A2) Foreign				0.00			0.00		0.00	
A=A1+A2	8	62,35,865	62,35,865	33.42		30,24,321	48.50	18,66,031	29.92	62,35,865

STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	No of Voting Rights	Total as a % of Total Voting right	No. of Shares Underlying & Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of equity shares held in dematerialized form (Not Applicable)
									No.(a)	As a % of total Shares held (b)	
B1) Institutions	0	0		0.00		0.00				0.00	
Financial Institutions/ Banks	2	47,133	47,133	0.25	47,133	0.25				0.00	47,133
Sub Total B1	2	47,133	47,133	0.25	47,133	0.25				0.00	47,133
B2) Central Government/ State Government(s)/ President of India	0	0		0.00		0.00				0.00	
B3) Non-Institutions	0	0		0.00		0.00				0.00	
Individual share capital upto Rs. 2 Lacs	9,145	52,53,403	52,53,403	28.16	52,53,403	28.16			17,077	0.33	51,33,154
Individual share capital in excess of Rs. 2 Lacs	43	41,45,159	41,45,159	22.22	41,45,159	22.22	50,00,000			0.00	41,45,159
RAMESH KUMAR CHOPRA	1	3,83,250	3,83,250	2.05	3,83,250	2.05				0.00	3,83,250
VISHWAS JAIN	1	3,09,435	3,09,435	1.66	3,09,435	1.66				0.00	3,09,435
GYAN MAL JAIN	1	3,40,000	3,40,000	1.82	3,40,000	1.82				0.00	3,40,000
KUSUM JAIN	1	3,02,618	3,02,618	1.62	3,02,618	1.62				0.00	3,02,618
NISHA JIGNESH MEHTA	1	5,50,620	5,50,620	2.95	5,50,620	2.95				0.00	5,50,620
SUBRAMANIAN P	1	4,15,973	4,15,973	2.23	4,15,973	2.23				0.00	4,15,973
Any Other (specify)	683	29,74,775	29,74,775	15.95	29,74,775	15.95				0.00	29,69,775
Bodies Corporate	217	13,64,570	13,64,570	7.31	13,64,570	7.31				0.00	13,59,570

KRYPTON INVESTMENT CONSULTANCY PRIVATE LIMITED	1	2,35,853	2,35,853	1.26	2,35,853	1.26				0.00	2,35,853
Clearing Members	30	33,491	33,491	0.18	33,491	0.18				0.00	33,491
Foreign Individuals	1	2,000	2,000	0.01	2,000	0.01				0.00	2,000
HUF	351	4,89,175	4,89,175	2.62	4,89,175	2.62				0.00	4,89,175
NRI – Non- Repat	16	27,314	27,314	0.15	27,314	0.15				0.00	27,314
NRI – Repat	68	10,58,225	10,58,225	5.67	10,58,225	5.67				0.00	10,58,225
DHIRENDRA SOMAIYA	1	3,28,200	3,28,200	1.76	3,28,200	1.76				0.00	3,28,200
LINDAJEET KAUR RUPRAI	1	6,67,352	6,67,352	3.58	6,67,352	3.58				0.00	6,67,352
Sub Total B3	9,871	1,23,73,337	1,23,73,337	66.32	1,23,73,337	66.32	50,00,000		17,077	0.14	1,22,48,088
B=B1+B2+B3	9,873	1,24,20,470	1,24,20,470	66.58	1,24,20,470	66.58	50,00,000		17,077	0.14	1,22,95,221

**STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC
SHAREHOLDER**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	No. of Shares Underlying Outstanding convertible securities (including Warrants)(X)	Number of Locked in shares(XII)		Number of equity shares held in dematerialized form(XIV)(Not Applicable)
						No	As a % of total Shares held	
C1) Custodian/DR Holder	0	0		0.00			0.00	
C2) Employee Benefit Trust	0	0		0.00			0.00	