

SHAREHOLDING PATTERN

BAFNA PHRMACEUTICALS LTD.

SCRIP CODE : 532989

QUARTER ENDING : SEP 2017

SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category of share holder	Nos. of Share holders	No. of fully paid up Equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)As a % of (A+B+C2)	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
						No.(a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A) Promoter & Promoter Group	8	62,35,865	62,35,865	33.42			0.00	31,82,556	51.04	62,35,865
(B) Public	9,504	1,24,20,470	1,24,20,470	66.58	50,00,000		0.00		0.00	1,22,95,221
(C1) Shares underlying DRs				0.00			0.00		0.00	
(C2) Shares held by Employee Trust				0.00			0.00		0.00	
(C) Non Promoter-Non Public				0.00			0.00		0.00	
Grand Total	9512	1,86,56,335	1,86,56,335	100.00	50,00,000		0.00	31,82,556	51.04	1,85,31,086

NOTE C = C1+C2

GRAND TOTAL = A+B+C

STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C 2)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
						No.(a)	As a % of total Shares held (b)	No.(a)	As a % of total Shares held (b)	
A1) Indian				0.00					0.00	
Individuals/Hindu undivided Family	5	29,55,597	29,55,597	15.84						
MAHAVEER CHAND BAFNA	1	14,85,078	14,85,078	7.96				14,85,078	100.00	14,85,078
PARAS BAFNA	1	9,11,671	9,11,671	4.89				4,11,671	45.16	9,11,671
NAVEEN BAFNA M	1	4,00,121	4,00,121	2.14					0.00	4,00,121
PARAS BAFNA HUF	1	492	492	0.00					0.00	492
MAHAVEER CHAND BAFNA HUF	1	1,58,235	1,58,235	0.85					0.00	1,58,235
Any Other (specify)	3	32,80,268	32,80,268	17.58					14.54	34,38,503
CHETNA BAFNA	1	21,32,805	21,32,805	11.43					0.00	21,32,805
SASIKALA BAFNA	1	6,27,572	6,27,572	3.36				6,27,572	0.00	6,27,572
AMRI BAI BAFNA	1	5,19,891	5,19,891	2.79				5,00,000	96.17	5,19,891
Sub Total A1	8	62,35,865	62,35,865	33.42				31,82,556	51.04	62,35,865
A2) Foreign				0.00					0.00	
A=A1+A2	8	62,35,865	62,35,865	33.42				31,82,556	51.04	62,35,865

STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2)	No of Voting Rights	Total as a % of Total Voting right	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of equity shares held in dematerialized form (Not Applicable)
									No.(a)	As a % of total Shares held (b)	
B1) Institutions	0	0		0.00		0.00				0.00	
Financial Institutions/ Banks	1	35	35	0.00	35	0.00				0.00	35
Sub Total B1	1	35	35	0.00	35	0.00				0.00	35
B2) Central Government/ State Government(s)/ President of India	0	0	0	0.00		0.00				0.00	
B3) Non-Institutions	0	0	0	0.00		0.00				0.00	
Individual share capital upto Rs. 2 Lacs	8807	51,83,557	51,83,557	27.78	51,83,557	27.78				0.00	51,83,557
Individual share capital in excess of Rs. 2 Lacs	47	51,83,557	43,52,157	23.33	43,52,157	23.33	50,00,000	21.14		0.00	43,52,157
RAMESH KUMAR CHOPRA	1	43,52,157	3,83,250	2.05	3,83,250	2.05				0.00	3,83,250
VISHWAS JAIN	1	3,83,250	3,09,435	1.66	3,09,435	1.66				0.00	3,09,435
GYAN MAL JAIN	1	3,09,435	3,40,000	1.82	3,40,000	1.82				0.00	3,40,000
KUSUM JAIN	1	3,40,000	3,02,618	1.62	3,02,618	1.62				0.00	3,02,618
NISHA JIGNESH MEHTA	1	3,02,618	5,50,620	2.95	5,50,620	2.95				0.00	5,50,620
SUBRAMANIAN P	1	5,50,620	4,15,973	2.23	4,15,973	2.23				0.00	4,15,973
Any Other (specify)	649	28,84,721	28,84,721	15.46	28,84,721	15.46				0.00	28,84,721

KRYPTON INVESTMENT CONSULTANCY PRIVATE LIMITED	1	2,35,853	2,35,853	1.26	2,35,853	1.26				0.00	2,35,853
Clearing Members	27	17,737	17,737	0.10	17,737	0.10				0.00	17,737
HUF	351	5,06,237	5,06,237	2.71	5,06,237	2.71				0.00	5,06,237
NRI – Non-Repat	16	26,514	26,514	0.14	26,514	0.14				0.00	26,514
NRI – Repat	64	60,688	60,688	0.33	60,688	0.33				0.00	60,688
DHIRENDRA SOMAIYA	1	3,28,200	3,28,200	1.76	3,28,200	1.76				0.00	3,28,200
LINDAJEET KAUR RUPRAI	1	6,67,352	6,67,352	3.58	6,67,352	3.58				0.00	6,67,352
Sub Total B3	9503	1,24,20,435	1,24,20,435	66.57	1,24,20,435	66.57				0.14	1,22,95,186
B=B1+B2+B3	9504	12420470	1,24,20,470	66.58	1,24,20,470	66.58	50,00,000	21.14		0.14	1,22,95,221

**STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC
SHAREHOLDER**

Category & Name of the Shareholders(I)	No. of shareholder(III)	No. of fully paid up equity shares held(IV)	Total no. shares held(VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)(VIII)	No. of Shares Underlying Outstanding convertible securities (including Warrants)(X)	Number of Locked in shares(XII)		Number of equity shares held in dematerialized form(XIV)(Not Applicable)
						No	As a % of total Shares held	
C1) Custodian/DR Holder	0	0		0.00			0.00	
C2) Employee Benefit Trust	0	0		0.00			0.00	