

1. Name of Listed Entity: Bafna Pharmaceuticals Limited
2. Scrip Code/Name of Scrip/Class of Security: BAFNAPHARM
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on: 31-Dec-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Public Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I-Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class seg: X	Class seg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Promoter & Promoter	8	6235865	0	0	6235865	26.36	6235865	0	623586	26.36	0	26.36	0.00	318255	51.04	6235865

	Group									5					6			
B	Public	8470	17420470	0	0	17420470	73.64	17420470	0	17420470	73.64	0	73.64	5012077	28.77			12314221
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00			0
	Total	8478	23656335	0	0	23656335	100.00	23656335	0	23656335	100.00	0	100.00	5012077	21.19	3182556	13.45	18550086

Table II- Statements showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN (II)	No. of older (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total shares nos. held (VII= IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as assuming full conversion of convertible securities (XI)= (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)		
								Class X	Class Y	Total			No. (a)	As a % of total Share held (b)	No. (a)	As a % of total share held (b)			
1	Indian		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
a	Individuals/Hindu undivided Family		5	295597	0	0	295597	12.49	295597	0	2955597	12.49	0	12.49	0	0.00	2054984	69.53	2955597
	NAVEEN BAFNA M	APIP B8787J	1	400121	0	0	400121	1.69	400121	0	400121	1.69	0	1.69	0	0.00	0	0.00	400121
	PARAS BAFNA HUF	AAE HP3540E	1	492	0	0	492	0.00	492	0	492	0.00	0	0.00	0	0.00	0	0.00	492
	MAHAVEER CHAND BAFNA	AAC PB6411G	1	1485078	0	0	1485078	6.28	1485078	0	1485078	6.28	0	6.28	0	0.00	1485078	100.0	1485078
	PARAS BAFNA	AASP B2717M	1	911671	0	0	911671	3.85	911671	0	911671	3.85	0	3.85	0	0.00	411671	45.16	911671
	MAHAVEER CHAND BAFNA HUF	AAD HM1755R	1	158235	0	0	158235	0.67	158235	0	158235	0.67	0	0.67	0	0.00	158235	100.0	158235
b	Central Government/ State		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

	Government(s)																		
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
d	Any Other (specify)		3	3280268	0	0	3280268	13.87	3280268	0	3280268	13.87	0	13.87		0.00	1127572	34.37	3280268
	Director or Director's Relatives		3	3280268	0	0	3280268	13.87	3280268	0	3280268	13.87	0	13.87		0.00	1127572	34.37	3280268
	CHETNA BAFNA	AIOP B8547R	1	2132805	0	0	2132805	9.02	2132805	0	2132805	9.02	0	9.02		0.00	0	0.00	2132805
	SASIKALA BAFNA	AASP B2718E	1	627572	0	0	627572	2.65	627572	0	627572	2.65	0	2.65		0.00	627572	100.00	627572
	AMRI BAI BAFNA	ABA PB0733A	1	519891	0	0	519891	2.20	519891	0	519891	2.20	0	2.20		0.00	500000	96.17	519891
	Sub-Total (A)(1)		8	6235865	0	0	6235865	26.36	6235865	0	6235865	26.36	0	26.36		0.00	3182556	51.04	6235865
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0
e	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0.00	0	0.00	0

	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	6235865	0	0	6235865	26.36	6235865	0	6235865	26.36	0	26.36	0	0.00	3182556	51.04	6235865

Table III- Statements showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held = IV+V+VI (VII)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertibles securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
a	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	
b	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
c	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
d	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
e	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
f	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
g	Financial Institutions/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	

	Banks																		
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
2	Central Government/ State Government(s) ) / President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non-institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		7949	14642705	0	0	14642705	61.90	14642705	0	14642705	61.90	0	61.90	5012077	34.23	0	0.00	9541456
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		7888	4865964	0	0	4865964	20.57	4865964	0	4865964	20.57	0	20.57	12077	0.25			4764715
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		61	9776741	0	0	9776741	41.33	9776741	0	9776741	41.33	0	41.33	5000000	51.14			4776741

	NISHA JIGNESH MEHTA	AGM PM95 37E	1	55062 0	0	0	550620	2.33	55062 0	0	550 620	2.33	0	2.33	0	0.00			550620
	VIKRAM VADILAL MANIAR	AAA PM97 40M	1	30000 0	0	0	300000	1.27	30000 0	0	300 000	1.27	0	1.27	3000 00	100. 00			0
	GYAN MAL JAIN	AAJP G463 6R	1	34000 0	0	0	340000	1.44	34000 0	0	340 000	1.44	0	1.44	0	0.00			340000
	ANUSHREE HIMANSHU BHAI SHAH	GCU PS35 73J	1	10000 00	0	0	100000	4.23	10000 00	0	100 000 0	4.23	0	4.23	1000 000	100. 00			0
	SUBRAMAN IAN P	AMB PS89 31K	1	41597 3	0	0	415973	1.76	41597 3	0	415 973	1.76	0	1.76	0	0.00			415973
	VISHWAS JAIN	AAE PV26 10D	1	30943 5	0	0	309435	1.31	30943 5	0	309 435	1.31	0	1.31	0	0.00			309435
	VRUSHALI HIMANSHU SHAH	GZH PS55 21Q	1	10000 00	0	0	100000	4.23	10000 00	0	100 000 0	4.23	0	4.23	1000 000	100. 00			0
	VIRAJ SAMIR SHAH	EVO PS93 53B	1	25000 0	0	0	250000	1.06	25000 0	0	250 000	1.06	0	1.06	2500 00	100. 00			0
	JAISHREE VIKRAM MANIAR	AAO PM10 81D	1	30000 0	0	0	300000	1.27	30000 0	0	300 000	1.27	0	1.27	3000 00	100. 00			0
	RAMESH KUMAR CHOPRA	AAE PC26 37B	1	38325 0	0	0	383250	1.62	38325 0	0	383 250	1.62	0	1.62	0	0.00			383250
	SWETA SAMIRBHAI SHAR	AQFP S5288 K	1	25000 0	0	0	250000	1.06	25000 0	0	250 000	1.06	0	1.06	2500 00	100. 00			0
	KUSUM JAIN	AAL PK55 48E	1	30261 8	0	0	302618	1.28	30261 8	0	302 618	1.28	0	1.28	0	0.00			302618
	NIRAV	AAM	1	40000	0	0	400000	1.69	40000	0	400	1.69	0	1.69	4000	100.			0

	VIKRAM MANIAR	PM6599C		0					0		000				00	00			
	SAGAR SAMIR SHAH	CKLP1S2759H	1	250000	0	0	250000	1.06	250000	0	250000	1.06	0	1.06	250000	100.00			0
	SAMIR ROHITKUMAR SHAH	AQCPS6044E	1	250000	0	0	250000	1.06	250000	0	250000	1.06	0	1.06	250000	100.00			0
	P SHOBHA	AAJPS3545F	1	451036	0	0	451036	1.91	451036	0	451036	1.91	0	1.91	0	0.00			451036
b	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		521	277765	0	0	277765	11.74	277765	0	277765	11.74	0	11.74	0	0.00			277265
	Sub-Total (B)(3)		8470	17420470	0	0	17420470	73.64	17420470	0	17420470	73.64	0	73.64	5012077	28.77			12314221
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		8470	17420470	0	0	17420470	73.64	17420470	0	17420470	73.64	0	73.64	5012077	28.77			12314221



Table IV- Statements showing shareholding pattern of the Non Promoter-Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of share older (III)	No. of fully paid up equity share held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total No. shares held (VII) = (IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII) = (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No. of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Share held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

<b>Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.</b>	
<b>No. of shareholders</b>	<b>No of share held</b>

Table III- Person in Concert

<b>Details of the shareholders acting as persons in Concert including their Shareholding</b>			
<b>Name of shareholder</b>	<b>Name of PAC</b>	<b>No. of share</b>	<b>Holding %</b>