

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity      **-Bafna Pharmaceuticals Limited**  
 2. Quarter ending              **- 30-Sep-2019**

**i. Composition Of Board Of Director**

T i t l e ( M r . / M s )	Name of the Director	DI N	P A N	C a t e g o r y ( C h a i r p e r s o n / E x e c u t i v e / N o n - E x e c u t i v e / I n d e p e n d e n t / N o m i n e e	S u b C a t e g o r y	Ini t i a l D a t e o f A p p o i n t m e n t	D a t e o f A p p o i n t m e n t	D a t e o f c e s s a t i o n	T e n u r e	D a t e o f B i r t h	No. o f D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f I n d e p e n d e n t D i r e c t o r s h i p i n l i s t e d e n t i e s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f m e m b e r s h i p s i n A u d i t / S t a k e h o l d e r C o m m i t t e e ( s i n c l u d i n g t h i s l i s t e d e n t i t y	No o f p o s t o f C h a i r p e r s o n i n A u d i t / S t a k e h o l d e r C o m m i t t e e h e l d i n l i s t e d e n t i t y i n c l u d i n g t h i s l i s t e d e n t i t y	M e m b e r s h i p i n C o m m i t t e e s o f t h e C o m p a n y	Rem a r k s
M r.	MAHAV EER CHAND BAFNA	0 1 4 5 8 2 1 1 1	A A C P B 6 4 1 1 G	C & ED	C E O - M D	28- Mar- 1995	01- Oct- 2017			01- No v- 196 1	1	0	1	0	SC	
M r.	PARAS BAFNA	0 1 9 3 3 6 6 3	A A S P B 2 7 1 7	ED		28- Mar- 1995	10- Oct- 2017			20- Sep - 196 6	1	0	2	0	AC,S C	

			M												
M r.	V RAJAMA NI	0 0 0 5 2 8 6 8	A A A P R 3 9 4 5 H	ID		20- Oct- 2005	10- Sep- 2019		6 0	17- Feb - 193 9	2	2	1	1	AC, NRC
M r.	SUNIL BAFNA	0 1 4 5 8 2 2 5 5	A H L P B 3 5 1 1 D	ID		20- Dec- 2005	10- Sep- 2019		6 0	11- Oct - 197 6	1	1	1	1	AC,S C,N RC
M rs .	SABITH A K	0 2 6 4 3 2 5 9	A P F P S 3 0 8 9 F	ED		27- Mar- 2015				21- Ma r- 197 2	1	0	0	0	
M r.	BABULA L KAMLES H KUMAR	0 1 2 1 8 9 5 9	A A D P K 2 1 9 6 G	ID		08- Feb- 2019	08- Feb- 2019		6 0	13- Jun - 196 8	1	1	1	0	AC, NRC

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	Yes

ii. **Composition of Committees**

**a. Audit Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	V RAJAMANI	ID	Chairperson	29-Feb-2008	
2	SUNIL BAFNA	ID	Member	28-May-2018	10-Aug-2019
3	BABULAL KAMLESH KUMAR	ID	Member	08-Feb-2019	
4	PARAS BAFNA	ED	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**b. Stakeholders Relationship Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL BAFNA	ID	Chairperson	30-May-2014	
2	MAHAVEER CHAND BAFNA	C & ED	Member	29-Feb-2008	
3	PARAS BAFNA	ED	Member	29-Feb-2008	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**c. Risk Management Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	
Whether Permanent chairperson appointed	

**d. Nomination and Remuneration Committee**

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL BAFNA	ID	Chairperson	29-Feb-2008	
2	V RAJAMANI	ID	Member	29-Feb-2008	
3	BABULAL KAMLESH KUMAR	ID	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

**iii. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
27-May-2019	10-Aug-2019	Yes	6	3

Company Remarks	
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Maximum gap between any two consecutive (in number of days)	74
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iv. **Meeting of Committees**

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	27-May-2019		Yes	4	3
Audit Committee		10-Aug-2019	Yes	3	3
Stakeholders Relationship Committee		29-Aug-2019	Yes	3	1

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	74

v. **Related Party Transactions**

<i>Subject</i>	<i>Compliance status (Yes/No)</i>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **JITENDRA KUMAR PAL**  
**Designation** : **Company Secretary**

**ANNEXURE III**

**Affirmations**

<b>Broad Heading</b>	<b>Regulation Number</b>	<b>Compliance Status</b>	<b>Company Remark</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

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**Name** : **JITENDRA KUMAR PAL**  
**Designation** : **Company Secretary**