

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity **-Bafna Pharmaceuticals Limited**
 2. Quarter ending **- 31-Mar-2019**

i. Composition Of Board Of Director

T i t l e (M r / M s)	Name of the Director	DI N	P A N	Cate gory(Chair perso n / Execu tive/ Non- Execu tive/ Indepe ndent/ Nomin ee)	S u b C a t e g o r y	Dat e of App oint me nt	Dat e of ces sati on	T e n u r e	No of D irec tors hip lis ted enti ties incl udi ng his ste den tity	No of m em ber ship sin Au dit/ Sta keh old er Com mitt ee(s) in clu din g his ste den tity	No of pos tof C hair pers on in Audi t/ Sta keh old er Com mitt ee held in list ede ntitie sincl udin g his ste den tity	Me mbe rshi p in Com mit tees of the Com pany	Remarks
Mr.	BAFNA MAHAVEER CHAND	0 1 4 5 8 2 1 1	AA CP B6 411 G	C & ED		01- Oct- 2017	30- Sep - 202 0		1	1	0	SC	
Mr.	PARAS BAFNA	0 1 9 3 3 6 6 3	AA SP B2 717 M	ED		01- Oct- 2017	30- Sep - 202 0		1	2	0	AC,SC	
Mr.	V RAJAMANI	0 0 0 5 2 8	AA AP R3 945 H	ID		10- Sep- 2014	09- Sep - 201 9	6 0	2	0	1	AC,N RC	

		6 8											
Mr .	SUNIL BAFNA	0 1 4 5 8 2 2 5	AH LP B3 511 D	ID		10- Sep- 2014	09- Sep - 201 9	6 0	1	2	1	AC,SC ,NRC	
Mr s.	K SABITHA	0 2 6 4 3 2 5 9	AP FP S30 89F	ED		27- Mar- 2015			1	0	0	NA	
Mr .	BABULAL KAMLESH KUMAR	0 1 2 1 8 9 5 9	AA DP K2 196 G	ID		08- Feb- 2019		6 0	1	1	0	AC,N RC	

Company Remarks	
Whether Permanent chairperson appointed	Yes

ii. Composition of Committees

a. Audit Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	PARAS BAFNA	ED	Member	08-Feb-2019	
2	BABULAL KAMLESH KUMAR	ID	Member	08-Feb-2019	
3	SUNIL BAFNA	ID	Member	28-May-2018	
4	V RAJAMANI	ID	Chairperson	29-Feb-2008	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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1	BAFNA MAHAVEER CHAND	C & ED	Member	29-Feb-2008	
2	PARAS BAFNA	ED	Member	29-Feb-2008	
3	SUNIL BAFNA	ID	Chairperson	30-May-2014	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
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Company Remarks	Risk Management Committee is not applicable .
Whether Permanent chairperson appointed	

d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	SUNIL BAFNA	ID	Chairperson	29-Feb-2008	
2	V RAJAMANI	ID	Member	29-Feb-2008	
3	BABULAL KAMLESH KUMAR	ID	Member	08-Feb-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter
13-Nov-2018	08-Feb-2019

Company Remarks	
Maximum gap between any two consecutive (in number of days)	86

iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Requirement of Quorum met (details)	Whether requirement of Quorum met (Yes/No)
Audit Committee	13-Nov-2018	08-Feb-2019	3	Yes
Nomination & Remuneration Committee		08-Feb-2019	2	Yes

Company Remarks	
Maximum gap between any	86

two consecutive (in number of days) [Only for Audit Committee]	
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v. **Related Party Transactions**

Subject	Compliance status (Y/N)
Whether prior approval of audit committee obtained	Not Applicable
Whether shareholder approval obtained for material RPT	Not Applicable
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Not Applicable

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. **Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Not applicable**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. a. This report and/or the reports submitted in the previous quarter has been placed before Board of Directors. - **Yes**
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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Name : **JITENDRA KUMAR PAL**
Designation : **Company Secretary**

ANNEXURE II

Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of Listing Regulations			
Item	Compliance status (Ye	Company Remark	Website
Details of business	Yes		www.bafnapharma.com
Terms and conditions of appointment of independent directors	Yes		www.bafnapharma.com
Composition of various committees of board of directors	Yes		www.bafnapharma.com
Code of conduct of board of directors and senior management	Yes		www.bafnapharma.com
Details of establishment of vigil mechanism/Waiver	Yes		www.bafnapharma.com
Criteria of making payments to non-executive directors	Yes		www.bafnapharma.com
Policy on dealing with related party transaction	Yes		www.bafnapharma.com
Policy for determining 'material' subsidiaries	Yes		www.bafnapharma.com
Details of familiarization programs imparted to investors	Yes		www.bafnapharma.com
Contact information of the designated officer of the listed entity who are responsible for	Yes		www.bafnapharma.com
email address for grievance redressal and other	Yes		www.bafnapharma.com
Financial results	Yes		www.bafnapharma.com
Shareholding pattern	Yes		www.bafnapharma.com
Details of agreements entered into with related parties	Not Applicable		
New name and the old name of the listed entity	Not		
II Annual Affirmations			
Particulars	Regulation Number	Compliance status (Ye	Company Remark
<i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i>	16(1)(b)&25(6)	Yes	
<i>Board composition</i>	17(1)	Yes	
<i>Meeting of Board of directors</i>	17(2)	Yes	
<i>Review of Compliance Reports</i>	17(3)	Yes	
<i>Plans for orderly succession for appointments</i>	17(4)	Yes	
<i>Code of Conduct</i>	17(5)	Yes	
<i>Fees/compensation</i>	17(6)	Yes	
<i>Minimum Information</i>	17(7)	Yes	
<i>Compliance Certificate</i>	17(8)	Yes	
<i>Risk Assessment & Management</i>	17(9)	Yes	
<i>Performance Evaluation of Independent Directors</i>	17(10)	Yes	
<i>Composition of Audit Committee</i>	18(1)	Yes	
<i>Meeting of Audit Committee</i>	18(2)	Yes	
<i>Composition of nomination & remuneration committee</i>	19(1)&(2)	Yes	
<i>Composition of Stakeholder Relationship Committee</i>	20(1)&(2)	Yes	
<i>Composition and role of risk management committee</i>	21(1),(2),(3),(4)	Not Applicable	
<i>Vigil Mechanism</i>	22	Yes	
<i>Policy for related party Transaction</i>	23(1),(5),(6),(7)&(8)	Yes	

<i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>	23(2),(3)	Yes	
<i>Approval for material related party transactions</i>	23(4)	Not Applicable	
<i>Composition of Board of Directors of unlisted material Subsidiary</i>	24(1)	Not Applicable	
<i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>	24(2),(3),(4),(5)&(6)	Not Applicable	
<i>Maximum Directorship & Tenure</i>	25(1)&(2)	Yes	
<i>Meeting of independent directors</i>	25(3)&(4)	Yes	
<i>Familiarization of independent directors</i>	25(7)	Yes	
<i>Memberships in Committees</i>	26(1)	Yes	
<i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i>	26(3)	Yes	
<i>Disclosure of Shareholding by Non-Executive Directors</i>	26(4)	Yes	
<i>Policy with respect to Obligations of directors and senior management</i>	26(2)&26(5)	Yes	

Other Information	
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III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Yes**

Other Information	
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Name : **JITENRA KUMAR PAL**
Designation : **Company Secretary**