

**ANNEXURE I**

**Format to be submitted by listed entity on quarterly basis**

1. Name of Listed Entity - **Bafna Pharmaceuticals Limited**  
 2. Quarter ending - **30-Sep-2016**

<b>i. Composition of Board of Directors</b>										
Title (Mr/Ms)	Name of the Director	DIN	PAN	Category (Chairperson /Executive/Non-Executive / Independent/ Nominee)	Date of Appointment	Date of Cessation	Tenure	No of Directors hip in listed entities including this listed entity	No of members hips in Audit/Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/Stakeholder Committee held in listed entities including this listed entity
Mr.	BAFNA MAHAVEER CHAND	01458211	AACPB6411G	C & ED				1	2	1
Mr.	PARAS BAFNA	01933663	AASPB2717M	ED				0	1	0
Mr.	V RAJAMANI	00052868	AAAPR3945H	ID	10-Sep-2014	09-Sep-2019	5	2	2	1
Mr.	R DWARAKANATHAN	01933653	ACQPD7074D	ID	10-Sep-2014	09-Sep-2019	5	1	2	1
Mr.	B KAMLESHKUMAR	01218959	AADPK2196G	ID	10-Sep-2014	09-Sep-2019	5	2	1	0
Mr.	SUNIL BAFNA	01458225	AHLPB3511D	ID	10-Sep-2014	09-Sep-2019	5	1	2	0
Mrs.	K SABITHA	02643259	APFPS3089F	ED				1	0	0

**ii. Composition of Committees**

<b>Audit Committee</b>			
Sr. No.	Name of the Director	Category	Chairperson/Membership
1	BAFNA MAHAVEER CHAND	C & ED	Member
2	V RAJAMANI	ID	Chairperson
3	R DWARAKANATHAN	ID	Member
4	B KAMLESHKUMAR	ID	Member

<b>Stakeholders Relationship Committee</b>			
Sr. No.	Name of the Director	Category	Chairperson/Membership
1	BAFNA MAHAVEER CHAND	C & ED	Member
2	PARAS BAFNA	ED	Member
3	SUNIL BAFNA	ID	Chairperson

<b>Risk Management Committee</b>			
Sr. No.	Name of the Director	Category	Chairperson/Membership

<b>Nomination and Remuneration Committee</b>			
Sr. No.	Name of the Director	Category	Chairperson/Membership
1	V RAJAMANI	ID	Member
2	R DWARAKANATHAN	ID	Chairperson
3	SUNIL BAFNA	ID	Member

<b>III. Meeting of Board of Directors</b>			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
27-Apr-2016	11-Aug-2016	54	
07-May-2016	02-Sep-2016		
08-Apr-2016			
30-May-2016			
17-Jun-2016			

<b>IV. Meeting of Committees</b>			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive (in number of days)
11-Aug-2016	4	30-May-2016	72
		30-May-2016	

<b>V. Related Party Transactions</b>	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	Yes
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders relationship committee - **Yes**
  - d. Risk management committee(applicable to the top 100 listed entities) - **NA**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors - **Yes**  
b. Any comments/observations/advice of Board of Directors may be mentioned here:

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**Name** : **MAHAVEER CHAND BAFNA**

**Designation** : **Managing Director**

### ANNEXURE III

#### Affirmations

Broad Heading	Regulation Number	Compliance Status
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	No
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes

#### Note:

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.
3. If the Listed Entity would like to provide any other information the same may be indicated here.

**Name** : **BAFNA MAHAVEER CHAND**

**Designation** : **Managing Director**