

General information about company	
Script code	532989
NSE Symbol	BAFNAPH
MSEI Symbol	NOT LISTED
ISIN	INEB7801022
Name of the company	BAFNA PHARMACEUTICALS LIMITED
Whether company is SME	No
Type of Security	Equity Shares
Class of report	Quarterly
Quarter ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2022
Date of Allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entry is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in lock-in?	No	No	No	No
6	Whether any shares held by promoters are pledged or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities												
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)	
								Class eg: X	Class eg: Y	Total		
(A)	Promoter & Promoter Group	7	21390876			21390876	90.42	21390876			21390876	90.42
(B)	Public	7319	2265459			2265459	9.58	2265459			2265459	9.58
(C)	Non Promoters- Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	7326	23656335			23656335	100	23656335			23656335	100

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (XI)	No. Of Shares Underlying Depository Receipts (VI)	Total nos. shares held (VII) = (X)+ (VI)+ (XI) As a % of (A+B+C2)	Shareholding, as a % of total no. of shares assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XIII)			Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
							As a % of total Shares held (b)	As a % of total Shares held (b)	Total		
(A)	Promoter & Promoter Group				90.42						21390876
(B)	Public				9.58						2257419
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total				100						23648295

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of Shares Underlying Outstanding convertible securities (X)	No. Of Partly paid-up equity shares held (V)	No. Of Shares Underlying Outstanding Warrants (XI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI) As a % of (A+B+C2)	Shareholding, as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Locked in shares (XII)			Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								As a % of total Shares held (b)	As a % of total Shares held (b)	Total		
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian											
(a)	Individuals/Hindu undivided Family	5	98186			98186	0.42	98186				98186
(d)	Any Other (specify)	2	21292690			21292690	90.01	21292690				90.01
	Sub-Total (A)(1)	7	21390876			21390876	90.42	21390876				90.42
(2)	Foreign											
	Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)	7	21390876			21390876	90.42	21390876				90.42
Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions											
(3)	Non-institutions											
(a)(i)	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	6922	745337			745337	3.15	745337			745337	3.15
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	11	494747			494747	2.09	494747			494747	2.09
(e)	Any Other (specify)	386	1025375			1025375	4.33	1025375			1025375	4.33
	Sub-Total (B)(3)	7319	2265459			2265459	9.58	2265459			2265459	9.58
	Total Public Shareholding (B) = (B1) + (B2) + (B3)	7319	2265459			2265459	9.58	2265459			2265459	9.58
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total (A+B+C2)		7326	23656335			23656335	100	23656335			23656335	100
Total (A+B+C)		7326	23656335			23656335	100	23656335			23656335	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of Shares Underlying Outstanding convertible securities (X)	No. Of Partly paid-up equity shares held (V)	No. Of Shares Underlying Outstanding Warrants (XI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI) As a % of (A+B+C2)	Shareholding, as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Locked in shares (XII)			Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								As a % of total Shares held (b)	As a % of total Shares held (b)	Total		
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group												
(1)	Indian											
(a)						90.42						98186
(d)						90.01						21292690
	Sub-Total (A)					90.42						21390876
(2)	Foreign											
	Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)					90.42						21390876
Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions											
(3)	Non-institutions											
(a)(i)						3.15						737797
(a)(ii)						2.09						494747
(e)						4.33						1024875
	Sub-Total (B)					9.58						2257419
	Total Public Shareholding (B) = (B1) + (B2) + (B3)					9.58						2257419
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder												
Total (A+B+C2)						100						23648295
Total (A+B+C)						100						23648295
Disclosure of notes in case of public share holding is less than 25 percentage										Textual Information(1)		

Text Block

Textual Information(1) The Company was under Corporate Insolvency Resolution Process (CIRP) as per the IBC, 2016. Pursuant to the Order passed by NCLT, Chennai allotment had been made to SRRL Lifesciences LLP (Promoter). As a result public shareholding has been reduced to less than 25 percentage.

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	P PARAS BAFNA	NAVEEN BAFNA	BAFNA MAHAVEER CHAND	SASIKALA BAFNA	PARAS BAFNA	Click here to go back
PAN (H)	AASPB2717M	APIPB8787J	AACPB6411G	AASPB2718E	AAEHP3540E	Total
No. of fully paid up equity shares held (IV)	50000	41911	4462	1764	49	98186
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	50000	41911	4462	1764	49	98186
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.21	0.18	0.02	0.01	0	0.42
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	50000	41911	4462	1764	49	98186
Class eg: Y						
Total	50000	41911	4462	1764	49	98186
Total as a % of Total Voting rights	0.21	0.18	0.02	0.01	0	0.42
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Shares Underlying Outstanding Warrants (XI)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	0.21	0.18	0.02	0.01	0	0.42
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	50000	41911	4462	1764	49	98186
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter	Promoter	Promoter	

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Director or Director's Relatives	Cleaning Members	HUF	JEFF
Name of the Shareholders (I)	SRRL LIFESCIENCES LLP	MONARCH NETWORK FINSERVE PRIVATE LIMITED	AMRI BAI BAFNA			
PAN (H)	AEFCF1208Q	AAACR4982K	ABAPB0733A			
No. of the Shareholders (I)	1	1	1			
No. of fully paid up equity shares held (IV)	21290701	318254	1989			21292690
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	21290701	318254	1989			21292690
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	90	1.35	0.01			90.01
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	21290701	318254	1989			21292690
Class eg: Y						
Total	21290701	318254	1989			21292690
Total as a % of Total Voting rights	90	1.35	0.01			90.01
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Shares Underlying Outstanding Warrants (XI)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	90	1.35	0.01			90.01
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	21290701	318254	1989			21292690
Reason for not providing PAN						
Shareholder type	Promoter	Promoter	Promoter			

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Cleaning Members	HUF	JEFF	Non-Resident Indian (NRI)
Name of the Shareholders (I)	Click here to go back	MONARCH NETWORK FINSERVE PRIVATE LIMITED				
PAN (H)	Total	AAACR4982K				
No. of the Shareholders (I)	386	1	18	230	1	76
No. of fully paid up equity shares held (IV)	569808	318254	76307	262021	2137	115102
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	569808	318254	76307	262021	2137	115102
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.41	1.35	0.32	1.11	0.01	0.49
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	569808	318254	76307	262021	2137	115102
Class eg: Y						
Total	569808	318254	76307	262021	2137	115102
Total as a % of Total Voting rights	2.41	1.35	0.32	1.11	0.01	0.49
No. Of Shares Underlying Outstanding convertible securities (X)						
No. Of Shares Underlying Outstanding Warrants (XI)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (XI) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII) = (VII)+(X) As a % of (A+B+C2)	2.41	1.35	0.32	1.11	0.01	0.49
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	569808	318254	76307	262021	2137	115102
Reason for not providing PAN						
Shareholder type						

Any Other (specify)						
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