- 1. Name of Listed Entity:Bafna Pharmaceuticals Limited
- 2. Scrip Code/Name of Scrip/Class of Security: BAFNAPH
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Jun-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego	Category of	Nos. of	No. of fully	No. of	No. of	Total	Shareholding	Nun	iber of \	oting F	Rights	No. of	Shareholding,	Numb	er of	Nun	nber of	Number of
ry	shareholder	shareh	paid up	Partly	shares	nos.	as a % of total	he	eld in ea	ch clas	s of	Shares	as a % assuming	Lock	ed in	Sh	nares	equity
(1)	(II)	olders	equity	paid-up	underlying	shares	no. of shares		secu	rities		Underlying	full conversion	sha	res	plea	lged or	shares held
		(III)	shares	equity	Depository	held	(calculated as		(1	X)		Outstanding	of convertible			othe	erwise	in
			held	shares	Receipts	(VII) =	per SCRR,					convertible	securities (as a	<u>(X</u>	<u>II)</u>	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentage of			(-	XIII)	ed form
				(V)		(VI)	(VIII)					(including	diluted share					
							As a % of	No	of Votii	ıg	Total	Warrants)	capital)	No.	As a	No.	As a	(XIV)
							(A+B+C2)		Rights		as a	(X)	- Cupitally	(a)	% of	(a)	% of	
							(Clas	Clas	Tot	% of	()	(XI)=(VII)+(X)		total		total	
								s	s	al	(A+B+		As a % of		Shar		Share	

								eg: X	eg:y		C)		(A+B+C2)		es held (b)		s held (b)	
A	Promoter & Promoter Group	6	2138394 8			213839 48		2138 3948		839 48	90.39	0	90.39	21290 701	99.56	0	0	21383948
В	Public	8324	2272387	0	0	227238 7		2272 387		227 238 7	9.61	0	9.61	0	0			2264347
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	8330	2365633 5	0		236563 35		2365 6335		236 563 35	100	0	100	21290 701	90	0	0	23648295

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN (II)	No. of shareh	No. of fully	Partly paid-up	Nos. of	Total	Sharehol				ts held in	No. of	Shareholdin		ber of		nber of	Number of
			lully		shares	nos.	ding %	ea	ch class		rities	Shares	g, as a %		ed in		hares	equity
		older	paid	equity	underlying	shares	calculate		(IX)		Underlying	assuming		res		dged or	shares held
<i>(I)</i>		(III)	up	shares held	Depository Receipts	held (VII =	d as per SCRR,	1				Outstanding convertible	full	(X	(II)		erwise	in dematerializ
			equity share	(V)	(VI)	(VII = 	1957					securities	conversion of	1			mbered XIII)	ed form
			s held	(*)	(**)	,	1307	No of	Voting Ri	ahts	Total as	(including	convertible	No.	As a	No.	Asa	(XIV)
			(IV)				As a % of			•	a % of	Warrants)	securities ((a)	% of	(a)	% of	, ,
							(A+B+C2)					(X)						
							(VIII)	Class					percentage of diluted	-				
								X	Y	al	riginis			1	held			
													capital)		(b)		. ,	
													(XI) =	1				
													(VII)+(X) as a	-				
													A+B+C2	1				
		_	_	_	_	_	_	_		_	_	_	_	ļ			_	_
		0	Ů	O	0	U	U	0	0	v	U	0	0	0	0		~	0
Individuals/Hi		5	93247	0	0	93247	0.39	93247	0	932	0.39	0	0.39	0	0	0	0	93247
ndu undivided										47								
-	A A C	1	1100	0	0	1100	0	1100	0	110	0	0	0	0	0	0	0	1100
		1	1108	O	O	1108	U	1108	U	110	U	Ο	U	U	U	U	U	1108
CHAND	PB64									8								
BAFNA	11 G																	
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
						O							O			O		
BAFNA HUF	755R																	
PARAS	AASP	1	50000	0	0	50000	0.21	50000	0	500	0.21	0	0.21	0	0	0	0	50000
BAFNA	B271									00								
										00								
		1	10	0	0	10	0	10	0	1.0				0	0			40
		1	49	U	U	49	U	49	υ	49	U	μ	υ	O	U	O	υ	49
BAFNA HUF	HP35																	
		1	40101	0	0	40101	0.17	40101	0	401	0.17	0	0.17	0	0	0	0	40101
		1	40101	U	U	40101	0.17	HOTOI	U		U.1 /	γ	0.17	U	U	U	V	40101
BAFNA M	B878									01								
	7J																	
		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
																ľ		Ĭ
]							
	8E																	
	ndu undivided Family MAHAVEER CHAND BAFNA MAHAVEER CHAND BAFNA HUF PARAS BAFNA PARAS BAFNA NAVIN BAFNA M SASIKALA BAFNA	Individuals/Hi ndu undivided Family MAHAVEER AAC CHAND PB64 BAFNA 11G MAHAVEER AAD CHAND HM1 BAFNA HUF 755R PARAS AASP BAFNA B271 7M PARAS AAE BAFNA HUF HP35 40E NAVIN APIP BAFNA M B878 7J SASIKALA AASP	Individuals/Hi ndu undivided Family MAHAVEER AAC 1 CHAND PB64 BAFNA 11G MAHAVEER AAD 0 CHAND HM1 BAFNA HUF 755R PARAS AASP1 BAFNA B271 7M PARAS AAE 1 BAFNA HUF HP35 40E NAVIN APIP 1 BAFNA M B878 7J SASIKALA AASP0 BAFNA B271	Indian	Indian 0 0 0 0 Individuals/Hi ndu undivided Family MAHAVEER AAC 1 1108 0 CHAND PB64 BAFNA 11G MAHAVEER AAD 0 0 0 CHAND HM1 BAFNA HUF 755R PARAS AASP1 50000 0 BAFNA B271 7M PARAS AAE 1 49 0 SASIKALA AASP0 BAFNA B271 SASIKALA AASP0 BAFNA B271	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian	Indian

	AMRIBAI BAFNA	ABA PB07 33A	1	1989	0	0	1989	0.01	1989	0	198 9	0.01	0	0.01	0	0	0	0	1989
	CHETNA BAFNA	AIOP B854 7R	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Central Government/ State Government(s		0	0	0	0	0	0	0	0	0	0	O	0	0	0	0	0	0
С	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		1	21290 701	0		212907 01		21290 701		212 907 01	90	0	90	2129 0701	100	0	0	21290701
	Bodies Corporate		1	21290 701	0		212907 01		21290 701		212 907 01	90	0	90	2129 0701	100	0	0	21290701
	SRJR LIFESCIENC ES LLP	AECF S1208 Q		21290 701	0		212907 01		21290 701		212 907 01	90	0	90	2129 0701	100	0	0	21290701
	Sub-Total (A)(1)		6	21383 948	0		213839 48		21383 948		213 839 48	90.39	0		2129 0701		0	0	21383948
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	-	0	-		0	0	0	0	0	0	0			0	0	0
c	Institutions		0	U	0	-	-	0	0	0	0	0	U	0	U	_	0	U	0
d	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	Investor																	
e	Any Other (specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		21383 948	0		213839 48		21383 948		213 839 48	90.39	0		2129 0701		0	0	21383948

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> IV+V+VI	Sharehol ding % calculate d as per SCRR, 1957	ea	ach class (I	of secu IX)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Lock sha (X	ber of eed in ares (II)	SI pled oth encu (nber of hares Iged or erwise Imbered XIII)	Number of equity shares held in dematerializ ed form
				s held (IV)				As a % of (A+B+C2) VIII	Class X	Class Y	Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No t ap pli ca ble	As a % of total share s held (Not applic able)	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	(a)	(b)	0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
С	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Investors															1	
f	Financial	0	0	0	0	0	0	0	n	0	0	0	0	0	0		0
1	Institutions/	U	U	U	U	U	U	U		U	U	ľ	U	U			
	Banks																
OT.	Insurance	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
g		U	U	U	U	U	U	U	U	U	U	P	U	U	0		
1_	Companies	0	0	0	0	0	0	0	0	0	0	h	0	0	0		0
h	Provident	U	U	U	U	U	U	U	U	U	0	O	U	U	U		0
	Funds/																
	Pension																
	Funds	0	0	0	0	0	0	0	0	0	0			0			
1	Any Other	0	0	0	0	0	0	0	O	0	0	ρ	0	0	0		0
	(specify)																
	Sub-Total	0	O	0	0	0	0	0	0	0	0	0	0	0	0		0
	(B)(1)																
2	Central	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	Government/																
	State																
	Government(s																
)/ President of																
	India																
	Sub-Total	0	0	0	0	0	0	0	0	0	0	O	0	0	0		0
	(B)(2)																
3	Non-	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
	institutions																
a	Individuals -	7920	14747	0	0	147471	6.23	14747	0	147	6.23	0	6.23	0	0		1467174
			14			4		14		471							
										4							
i	Individual	7908	88933	0	0	889332	3.76	88933	0	889	3.76	0	3.76	0	0		881792
	shareholders		2					2		332							
	holding																
	nominal share																
	capital up to																
	Rs. 2 lakhs.																
ii	Individual	12	58538	0	0	585382	2.47	58538	0	585	2.47	0	2.47	0	0		585382
	shareholders		2		Ĭ	505502		2		382			[355552
	holding							Ĺ		502							
	nominal share																
<u></u>	monima share			<u> </u>			<u> </u>				I .						

	capital in excess of Rs. 2 lakhs.															
b	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	404	79767 3	0	0	797673	3.37	79767 3	0	797 673	3.37	0	3.37	0	0	797173
	Bodies Corporate	1	33086 8		0	330868	1.4	33086 8		330 868	1.4	0	1.4	0	0	330868
	MONARCH NETWORTH FINSERVE PRIVATE LIMITED		33086 8	0	0	330868	1.4	33086 8	0	330 868		0	1.4	0	0	330868
	Sub-Total (B)(3)	8324	22723 87	0	0	227238 7	9.61	22723 87	0	227 238 7	9.61	0	9.61	0	0	2264347
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)	8324	22723 87	0	0	227238 7	9.61	22723 87	0	227 238 7	9.61	0	9.61	0	0	2264347

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	g Righ	ts held in	No. of	Total	Numi	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin	Lock			nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(I	X)		Underlying	g, as a %		ares	plea	lged or	shares held
	(1)		(III)	up equity	shares held	Depository Receipts	(VII = IV+V+VI)	d as per SCRR,					Outstanding convertible	assuming full	(7	(II)		erwise mbered	in dematerializ
				share	(V)	(VI)	177777	1957					securities	conversion				XIII)	ed form
				s held		. ,		As a % of	No of	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	Ás a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total Voting	(X)	securities (total Shar	t ap	total share	Applicable)
									Class X	Class Y	Tot al	rights		percentage		es	pli	s held	
									^	l '	aı	_		of diluted		held	ca	(Not	
														share capital)			ble	applic able)	
														(XI)			,	abie)	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0		0	0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	`																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ ea	xercise of right of the SI	BO in the reporting company	, whether direct or ir	ndirect*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

1	Srinivasa	ACPPC2768	India	0	0	0			
	Raju	Q							
	Chintalapati								
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
2	Jyothi Raju	ACQPC867	India	0	0	0			
	Chintalapati	9M							
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
3	Mahaveer	AACPB641	India	0	0	0			
	Chand Bafna	1G							
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								

Table II- Unclaim Details

	Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
	outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders		No of share held		

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.		

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	