- 1. Name of Listed Entity:Bafna Pharmaceuticals Limited
- Scrip Code/Name of Scrip/Class of Security: BAFNAPH
 Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on : 30-Sep-2021
- 5. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	nber of V eld in ead secu (I	ch clas: rities		No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of	Numb Locke shai (XI	ed in res	Sł plec oth encu	nber of hares dged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				(V)		(VI)	(VIII) <u>As a % of</u> (A+B+C2)	of Votin Rights Clas s eg:y	ng Tot al	Total as a % of (A+B+ C)	(including Warrants) (X)	diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	<u>(XIV)</u>

A	Promoter & Promoter Group	6	2138584 3	0		213858 43		2138 5843		213 858 43	90.4	0		21290 701	99.560	(0	21385843
В	_	8062	2270492	0	0	227049 2		2270 492		227 049 2	9.6	0	9.6	0	0			2262452
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
		8068	2365633 5	0		236563 35		2365 6335		236 563 35	100	0		21290 701	90 0	(C	23648295

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	er of Votir	ng Righ	ts held in	No. of	Shareholdin	Num	ber of	Nu	mber of	Number of
	of the	(11)	shareh	fully	paid-up	shares	nos.	ding %	ea	ch class		rities	Shares	g, as a %		ked in		hares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(4	IX)		Underlying	assuming		ares		dged or	shares held
	(1)		(111)	ир	shares	Depository	held	d as per	-				Outstanding	full	0	KII)		herwise	in
				equity	held	Receipts	(VII =	SCRR,	-				convertible	conversion	-			umbered	dematerializ
				share s held	(V)	(VI)	IV+V+VI)	1957	No. of	Voting Ri		Total as	securities	of	N -			<u>(XIII)</u>	ed form
				(IV)				As a % of	NO OF	voung Ri	gnts	a % of	(including Warrants)	convertible securities (No. (a)	Asa %of	No. (a)	Asa %of	(XIV)
				(11)				(A+B+C2)				Total	(X)	as a	(4)	total	(4)	total	
								(VIII)	Class	Class	Tot	Voting	()	percentage		Shar		share	
									X	Y	al	rights		of diluted		es		s held	
														share		held		(b)	
														capital) (XI) =	-	(b)			
														(XI) = (VII)+(X) as a	-				
														% of	-				
														A+B+C2					
	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hi		5	95142	0	0	95142	0.4	95142	0	951	0.4	0	0.4	0	0	0	0	95142
	ndu undivided										42								
											12								
	Family						-											-	
	MAHAVEER		1	1815	0	0	1815	0.01	1815	0	181	0.01	0	0.01	0	0	0	0	1815
	CHAND	PB64									5								
											ĩ								
		11G												2	0				
	MAHAVEER		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	CHAND	HM1																	
	BAFNA HUF	755D																	
		AASP	1	50000	0	0	50000	0.21	50000	0	500	0.21	0	0.21	0	0	0	0	50000
			1	50000	0	0	50000	0.21	50000	U		0.21	0	0.21	U	0	V	0	50000
	BAFNA	B271									00								
		7M																	
		AAE	1	49	0	0	49	0	49	0	49	0	0	0	0	0	0	0	49
			1	- ۲	0	U	77	U	ر ا	0		V	U	0	V	U	V	0	
	BAFNA HUF	HP35																	
		40E																	
		APIP	1	41289	0	0	41289	0.17	41289	0	412	0.17	0	0.17	0	0	0	0	41289
			1	11207	0	U III	11207	0.17	1207			0.17	Ŭ	0.17	Ŭ	С	U I		11207
	BAFNA M	B878									89								
		7J																	
	SASIKALA	AASP	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		B271							·		1				-	-	[
		8E																	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	AMRIBAI BAFNA	ABA PB07 33A	1	1989	0	0	1989	0.01	1989	0	198 9	0.01	0	0.01	0	0	0	0	1989
	CHETNA BAFNA	AIOP B854 7R	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Central Government/ State Government(s	ŝ	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
с	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		1	21290 701	0	0	212907 01		21290 701	0	212 907 01	90	0		2129 0701	100	0	0	21290701
	Bodies Corporate		1	21290 701	0	0	212907 01		21290 701	0	212 907 01	90	0		2129 0701		0	0	21290701
	SRJR LIFESCIENC ES LLP	AECF S1208 Q		21290 701	0	0	212907 01		21290 701	0	212 907 01	90	0		2129 0701		0	0	21290701
	Sub-Total (A)(1)		6	21385 843	0	0	213858 43		21385 843	0	213 858 43	90.4	0		2129 0701	99.5 6	0	0	21385843
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	-	0	0	0	0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

	Investor																	
e	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(specify)																	
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(A)(2)																	
	Total	6	21385	0	0	213858	90.4	21385	0	213	90.4	0	90.4	2129	99.5	0	0	21385843
	Shareholding		843			43		843		858				0701	6			
	of Promoter									43								
	and Promoter																	
	Group (A)=																	
	(A)(1)+(A)(2)																	

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareh older (III)	No. of fully paid up equity share s held	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held <u>VII =</u> <u>IV+V+V</u> I	Sharehol ding % calculate d as per SCRR, 1957,	ea	ach class ((l	of secu X)		No. of Shares Underlying Outstanding convertible securities	Total shareholdin g , as a % assuming full conversion	Loci sha ()	ber of ked in ares KII)	Sh plea oth encu (/	nber of nares Iged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
				s neia (IV)				As a % of (A+B+C2) VIII	Class X	Voting Rig Class Y	Tot al	Total as a % of Total Voting rights	(including Warrants) (X)	of convertible securities (as a percentage of diluted share capital) (XI)	No. (a)	As a % of total Shar es held (b)	No. (No ap pli ca ble) (a)	As a % of total share s held (Not applic able) (b)	(XIV)
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
а	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
с	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio		0	0	0	0	0	0	0	0	0	0	0	0	0	0			00

	Investors																
f	Financial	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																
	Banks																
g	Insurance	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Companies																
	Provident	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Funds/																
	Pension																
	Funds			0	0			0	-	0	0	0	0	0	0	0	0
1	Any Other	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(specify)			0	0	0	0	0	0	0	0	0	0	0	0	0	 0
	Sub-Total	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(1)	0		0	0	0	0	0	0	0	0	0	0	0	0	0	 0
2	Central	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/ State																
	Government(s																
)/ President of																
	India																
	Sub-Total	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(2)	Ũ		0	Ŭ	ő	°,	0	Ũ	Ŭ	U	Ũ	°	0	Ŭ	Ŭ	°
3	Non-	0		0	0	0	0	0	0	0	0	0	0	0	0	0	0
	institutions	Ĩ		~	-	Ĩ	-	Ĩ	-	-	Ĩ	-	-	-			-
	Individuals -	76	687	14801	0	0	148010	6.26	14801	0	148	6.26	0	6.26	0	0	1472567
				07			7		07		010						
											7						
i	Individual	76	675	88472	0	0	884725	3.74	88472	0	884	3.74	0	3.74	0	0	877185
	shareholders			5					5		725						
	holding																
	nominal share																
	capital up to																
	Rs. 2 lakhs.																
	Individual	12	2	59538	0	0	595382	2.52	59538	0		2.52	0	2.52	0	0	595382
	shareholders			2					2		382						
	holding																
	nominal share																

	capital in																
	excess of Rs.																
	2 lakhs.																
	NBFCs		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	registered		0	Ŭ	°	0	0	°	U U	U	Ŭ	°	0	0	Č	Ŭ	0
	with RBI																
	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Trusts																
d	Overseas		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Depositories																
	(holding DRs)																
	(balancing																
	figure)																
e	Any Other		375	79038	0	0	790385	3.34	79038	0		3.34	0	3.34	0	0	789885
	(specify)			5					5		385						
	Bodies		1	33086	0	0	330868	1.4	33086	0	330		0	1.4	0	0	330868
	Corporate			8					8		868						
		AAA		33086	0	0	330868	1.4	33086	0	330	1.4	0	1.4	0	0	330868
	NETWORTH			8					8		868						
		82K															
	PRIVATE																
	LIMITED																
	Sub-Total		8062	22704	0	0	227049		22704	0	227	9.6	0	9.6	0	0	2262452
	(B)(3)			92			2		92		049						
											2						
	Total Public		8062	22704	0	0	227049		22704	0	227	9.6	0	9.6	0	0	2262452
	Shareholding			92			2		92		049						
	(B)=										2						
	(B)(1)+(B)(2)																
	+(B)(3)																

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol		er of Votin			No. of	Total	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class o		rities	Shares	shareholdin		ced in		nares	equity
	Shareholders		older	paid up	equity shares	underlying Depository	held (VII =	calculate d as per		(1.	X)		Underlying Outstanding	g, as a %		ares (II)		ged or erwise	shares held in
	(1)		(111)	equity	held	Receipts	(VII = IV + V + VI)	SCRR,					convertible	assuming full		(11)		mbered	dematerializ
				share	(V)	(VI)	<u></u>	1957					securities	conversion	-			XIII)	ed form
				s held	. ,	. ,		Asa%of	No of	Voting Rig	ghts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)				a % of	Warrants)	convertible	_	% of	(No	% of	(Not
								(VIII)				Total Voting	(X)	securities (as a		total Shar	t	total share	Applicable)
									Class	Class	Tot	rights		percentage	-	es	ap pli	s held	
									x	Ŷ	al			of diluted		held	ca	(Not	
														share			ble	applic	
														capital) (XI)	-)	able)	
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0		0	0			0
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		0	0	0	0	0		0	0	0	0	0		0	0			0
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign	Nationality	Details of holding/ ex	xercise of right of the SI	BO in the reporting company	, whether direct or in	ndirect*	Date of creation / acquisition of
		national		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	significant beneficial interest

1	Srinivasa	ACPPC2768	India	0	0	0			
	Raju	Q							
	Chintalapati								
А	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
2	Jyothi Raju	ACQPC867	India	0	0	0			
	Chintalapati	9M							
А	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
3	Mahaveer	AACPB641	India	0	0	0			
	Chand Bafna	1G							
А	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc. No. of shareholders No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	