- 1. Name of Listed Entity: Bafna Pharmaceuticals Limited
- Scrip Code/Name of Scrip/Class of Security: BAFNAPH
 Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on :31-Mar-2021
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	Yes	Promoter and Promoter Group
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	

Table I-SummaryStatementholdingofspecifiedsecurities

Catego	Categoryof	Nos.of	No.offullyp	No.	No.ofshar	Totaln	Shareholding	Nur	nberofV	otingRi	ghtsh	No.ofShar	Shareholding, as	Numl	perof	Nui	nberof	Numberofe
ry(I)	shareholder	shareh	aidupequit	ofPartl	esunderlyi	os.sha	as a%of	eldi	neachcl	assofse	ecuriti	esUnderlyi	a%assumingfullc	Locke	edins	Sha	resple	quityshares
	(II)	olders	У	ypaid-	ng	res	totalno.ofshar		ϵ	es		ng	onversion	har	es	dg	gedor	held
		(III)	shares	equity	Depository	held	(calculatedas		(1	X)		Outstanding	ofconvertible			oth	erwise	in
			held	shares	Receipts	(VII)=	perSCRR,					convertible	securities(asa	(XI	<u>l)</u>	encu	mbered	dematerializ
			(IV)	held	(VI)	(IV)+(V)+	1957)					securities	percentageof			(XIII)	edform
				(V)		(VI)	(VIII)					(including	dilutedshare					
							Asa%of	No	ofVoting	ıR	Total	Warrants)	capital)	No.	Asa	No.	Asa	<u>(XIV)</u>
							(A+B+C2)		ights		asa	(X)	capital	(a)	%oft	(a)	%ofto	
							(7112102)	Clas	Clas	Tot	%of(A	(24)	(XI)=(VII)+(X)		otal		talSh	
								seg:	seg:	al	+B+C)		Asa%of		Shar		aresh	
								X	У				(A+B+C2)		esh		eld(b)	
													(>2.102)		eld(
															b)			

A	Promoter & Promoter Group	8	2170220 2	0	0	217022 02		2170 2202	0	217 022 02	91.74	0		21290 701		318 254	1.47	21702202
В		8595	1954133	0	0	195413 3	8.26	1954 133	0	195 413 3	8.26	0	8.26	0	0			1946093
С	Non Promoter- Non Public	0	0	0	0	0		0	0	0	0	0		0	0			0
C1	Shares underlying DRs	0	0	0	0	0		0	0	0	0	0		0	0			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	8603	2365633 5	0	0	236563 35		2365 6335	0	236 563 35	100	0		21290 701		318 254	1.35	23648295

 ${\it Table II-Statements howing shareholding pattern of the Promoter and Promoter Group}$

	Category&Name	PAN	No.of	No.of	Partly	Nos.of	Total	Sharehol	Numb	erofVoting	Riahts	sheldin	No.of	Shareholdin	Num	berof	Nur	nberof	Numberof
	ofthe	(II)	shareh	fully	paid-up	shares	nos.	ding%		chclasso			Shares	g, as a%		redin		nares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate		(1	X)		Underlying	assuming		ares		lgedor	sharesheld
	(1)		(III)	up equity	shares held	Depository Receipts	held (VII=	das per SCRR,					Outstanding convertible	full conversion	()	(II)		erwise mbered	in dematerializ
				share	(V)	(VI)	IV+V+VI)	1957					securities	of	-			XIII)	edform
				sheld			-	4 0 (6	NoofV	otingRigh	ts	Totalas	(including	convertible	No.	Asa	No.	Asa	(XIV)
				(IV)				Asa%of (A+B+C2)				a%ofTo talVotin	Warrants) (X)	securities(asa	(a)	%oft otal	(a)	%ofto talsha	
								(VIII)	Class	Class	Tot	grights	(2-5)	percentage		Shar		reshe	
									X	Y	al			ofdiluted share		esh eld(ld(b)	
														capital)		b)			
														(XI)=					
														(VII)+(X)asa %of					
														A+B+C2					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hi		7	41150	0	0	411501	1 74	41150	0	411	1.74	0	1.74	0	0	318	77 34	411501
<u> </u>	ndu undivided		,	1		O	111301	1.,,	1		501	1.,	Ö	1., .		O	254	77.51	111501
				1					1		301						234		
	Family																		
	MAHAVEER	AAC	1	14961	0	0	149615	0.63	14961	0	149	0.63	0	0.63	0	0	148	99.26	149615
	CHAND	PB64		5					5		615						507		
	BAFNA	11G																	
	MAHAVEER		1	15823	0	0	15823	0.07	15823	0	158	0.07	0	0.07	0	0	158	100	15823
		HM1	•	13023		O	13023	0.07	13023		23	0.07	Ö	0.07		O	23	100	15025
											23						23		
	BAFNA HUF																		
	PARAS	AASP	1	91167	0	O	91167	0.39	91167	0	911	0.39	0	0.39	0	0	411	45.16	91167
	BAFNA	B271									67						67		
		7M																	
		AAE	1	49	0	0	49	0	49	0	49	0	0	0	0	0	0	0	49
	BAFNA HUF		-	'_					`		'_	Ĭ							
		40E				_					L							_	
	NAVEENBA		1	40101	0	0	40101	0.17	40101			0.17	0	0.17	0	0	0	O	40101
	FNA M	B878									01								
		7J																	
-		AASP	1	62757	0	0	62757	0.27	62757	0	627	0.27	0	0.27	0	0	627	100	62757
		B271	-	2,3,			02/0/	.27	2,3,		57	J.27		·- /		~	57	100	02757
											51						51		
		8E																	

	AMRIBAI BAFNA	ABA PB07 33A	1	51989	0	0	51989	0.22	51989	0	519 89	0.22	0	0.22	0		500 00	96.17	51989
b	Central Government/ State Government(s		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
С	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Any Other (specify)		1	21290 701	0	0	212907 01	90	21290 701	0	212 907 01	90	0		2129 0701	100	0	0	21290701
	Bodies Corporate		1	21290 701		0	212907 01	90	21290 701	0	212 907 01		0		2129 0701		0		21290701
	SRJR LIFESCIENC ES LLP	AECF S1208 Q		21290 701	0	0	212907 01	90	21290 701	0	212 907 01	90	0		2129 0701	100	0	0	21290701
	Sub-Total (A)(1)		8	21702 202	0	0	217022 02	91.74	21702 202	0	217 022 02	91.74	0		2129 0701		318 254	1.47	21702202
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
b	Government		0	0	0	0	0	0	0	0	0	0	O .	0		0			0
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	U	0	0	U	0
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	O	0
(A)(2)																	
Total	8	21702	0	0	217022	91.74	21702	0	217	91.74	0	91.74	2129	98.1	318	1.47	21702202
Shareholding		202			02		202		022				0701		254		
of Promoter									02								
and Promoter																	
Group (A)=																	
(A)(1)+(A)(2)																	

TableIII- Statementshowing shareholdingpatternof the Public shareholder

	Category&Nameo ftheShareholders (I)	PAN (II)	Nos.of shareh older(II I)	No.off ullypa idupe quitys hares held(l	Partlyp aid- upequit yshare sheld(V)	Nos.ofshar esunderlyi ngDeposito ryReceipts (VI)	Totaln os.sha reshel d <u>VII=</u> IV+V+VI	Sharehol ding%cal culateda s perSCR R,1957		chclasso: (I	fsecurii IX)		No.ofShares Underlying Outstanding convertibles ecurities(inc ludingWarra	Totalshareh olding, as a%assumin gfullconver sionofconv ertiblesecur	Lock hare	berof redins s(XII)	aresp oroth encur	berofSh pledged herwise mbered(XIII)	Numberofe quityshares heldindemat erializedfor m(XIV)
				V)				Asa%of(A+B+C2) VIII	NoofV	otingRigh	its	Totalas a%ofTo talVotin	nts)(X)	ities(asaper centageofdi lutedsharec	No. (a)	Asa %oft otal	No. (No tap	Asa %ofto talsha	
									Class X	Class Y	Tot al	grights		apital) (XI)		Shar esh eld(b)	pli ca ble) (a)	reshe Id(Not applic able)(b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
a	Mutual Funds/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
f	Financial Institutions/		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0

	Banks															
g	Insurance	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Companies															
h	Provident	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Funds/															
	Pension															
	Funds															
i	Any Other	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(specify)															
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(1)															
2	Central	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/															
	State															
	Government(s															
)/ President of															
	India															
	Sub-Total	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	(B)(2)															
3	Non-	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	institutions	0011	1 10 2 =			1.10.2.7.0	- 0.1	1.10.0.		1.10						1 10 70 10
a	Individuals -	8214	14927	0	0	149278	6.31	14927	0		6.31	0	6.31	0	0	1485248
			88			8		88		278						
	T 1' ' 1 1	0202	0.07.40	0	0	007406	2.04	00740	0	8	2.04	0	2.04	0		000066
1	Individual	8202	90740	O	0	907406	3.84	90740	U		3.84	0	3.84	0	0	899866
	shareholders		6					6		406						
	holding															
	nominal share															
	capital up to Rs. 2 lakhs.															
ii	Individual	12	58538	0	0	585382	2.47	58538	0	595	2.47	0	2.47	0	0	585382
11	shareholders	12	20220			000002	∠.4/	2	0	382		U	∠.4/	U		303304
	holding		_					_		362						
	nominal share															
	capital in															
	excess of Rs.															
	2 lakhs.															
	2 1ax115.		1	1		1	1						1			

b	NBFCs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	registered															
	with RBI															
c	Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Trusts															
d	Overseas	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Depositories															
	(holding DRs)															
	(balancing															
	figure)															
e	Any Other	381	46134	0	0	461345	1.95	46134	0	461	1.95	0	1.95	0	0	460845
	(specify)		5					5		345						
	Sub-Total	8595	19541	0	0	195413	8.26	19541	0	195	8.26	0	8.26	0	0	1946093
	(B)(3)		33			3		33		413						
										3						
	Total Public	8595	19541	0	0	195413	8.26	19541	0	195	8.26	0	8.26	0	0	1946093
	Shareholding		33			3		33		413						
	(B)=									3						
	(B)(1)+(B)(2)															
	+(B)(3)															

TableIV- Statementshowing shareholdingpatternof the NonPromoter-Non Publicshareholder

	Category&Name	PAN	No.of	No.of	Partly	Nos.of	Totalno.	Sharehol	Numbe	erofVoting	Rights	heldin	No.of	Total	Numl	berof	Nun	nberof	Numberof
	ofthe	(II)	shareh	fully	paid-up	shares	shares	ding%	ea	chclasso		ties	Shares	shareholdin	Lock			nares	equity
	Shareholders		older	paid	equity	underlying	held (VII=	calculate		(I	X)		Underlying	g, as a%	sha	res (II)		lgedor	sharesheld
	<i>(I)</i>		(III)	up equity	shares held	Depository Receipts	(VII= IV+V+VI)	das per SCRR,					Outstanding convertible	assuming full	(^	.II)		erwise mbered	in dematerializ
				share	(V)	(VI)	1010101	1957					securities	conversion				XIII)	edform
				sheld	. ,	, ,		Asa%of	NoofV	otingRigh	ts	Totalas	(including	of	No.	Asa	No.	Asa	(XIV)
				(IV)				(A+B+C2)				a%ofTo	Warrants)	convertible		%oft	(No	%ofto	(Not
								(VIII)				talVotin grights	(X)	securities(asa		otal Shar	tap pli	talsha reshe	Applicable)
									Class X	Class	Tot al	grigitis		percentage		esh	ca	Id(Not	
									^	7	aı			ofdiluted		eld	ble	applic	
														share)	able)	
														capital) (XI)					
1	Custodian/DR		0	0	0	0	0		0	0	0	0	0	1/	0	0			0
	Holder																		
2			0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
2	Employee		U	U	U	U	U	U	U	U	U	U	U	U	U	U			U
	Benefit Trust																		
	(under SEBI																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	_																		
-	2014)						0			0		0			0				
	Total Non-		O	U	U	υ	υ		U	U	U	U	υ		O	0			U
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		
	(- / (- / (- / (- / (- /		1	<u> </u>	1	<u> </u>	<u> </u>	1	L		L	<u> </u>	l		l				

TableV- Statementshowing shareholdingpatternof the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in	Nationality	Details of holding/ ex	xercise of right of the S	BO in the reporting company	, whether direct or in	direct*	Date of creation /
		case of a foreign							acquisition of
		national		Shares	Voting rights	Rights on distributable	Exercise of	Exercise of	significant
						dividend or any other	control	significant influence	beneficial interest
						distribution			

1	Srinivasa	ACPPC2768	India	0	0	0			
	Raju	Q							
	Chintalapati								
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
2	Jyothi Raju	ACQPC867	India	0	0	0			
	Chintalapati	9M							
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								
3	Mahaveer	AACPB641	India	0	0	0			
	Chand Bafna	1G							
A	SRJR	AFCFS1208	India	0	0	0	No	No	10-Sep-2020
	Lifesciences	Q							
	LLP								

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,			
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held		

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	